

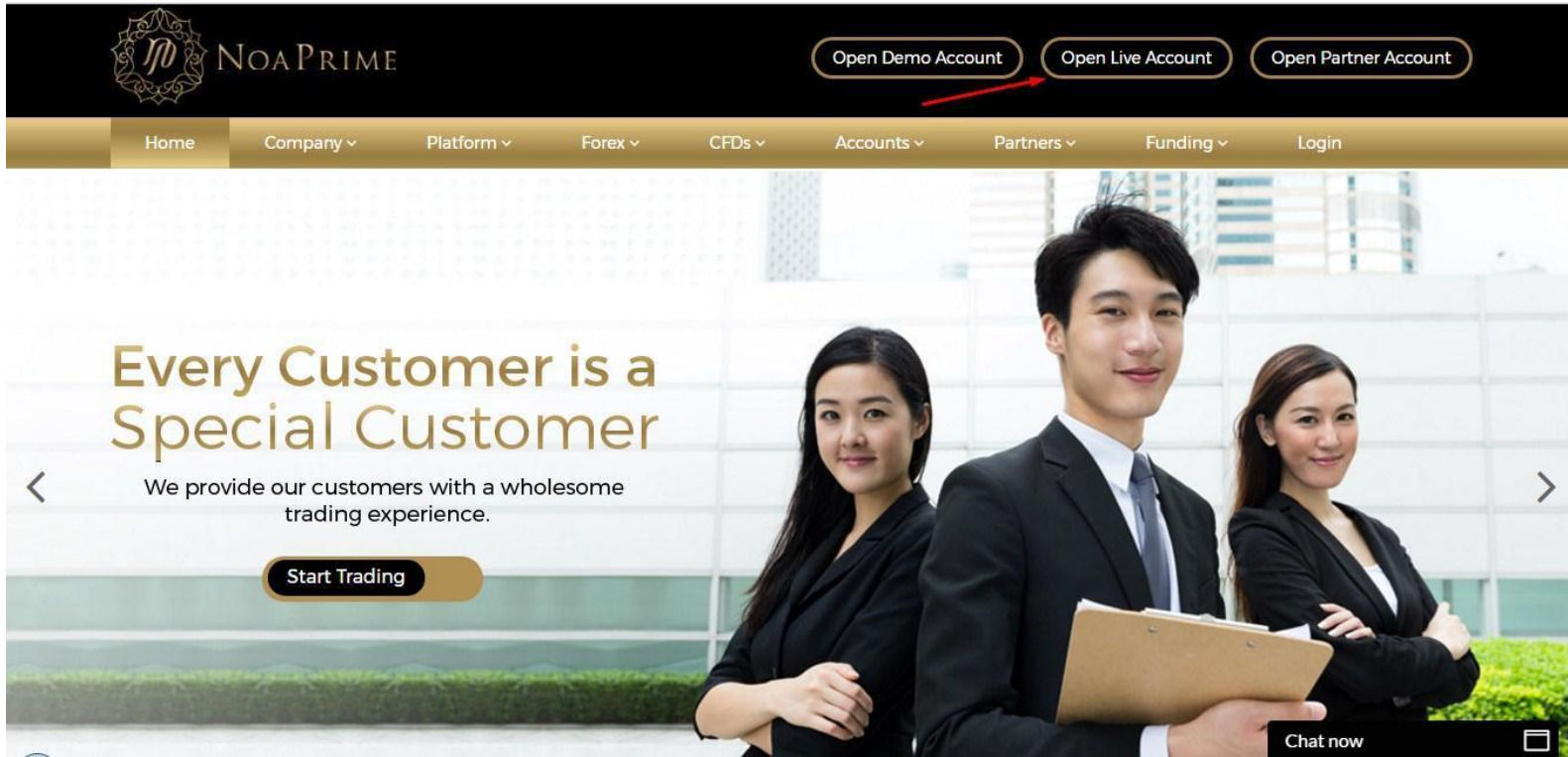


GUIDE TO OPEN A CORPORATE LIVE ACCOUNT - TRADER



STEPS TO OPEN A CORPORATE LIVE ACCOUNT

Go to NoaPrime website (<http://www.noaprime.com/>) and click on **Open Live Account**.



STEPS TO OPEN A CORPORATE LIVE ACCOUNT

Select your account type as **Corporate** and click **Next**.

This application allows you to establish an account with NoaPrime.

To begin, please select your account type and click the "Next" button.

Language

Select your language *

Account type

Select your account type *

Individual

Joint

Corporate




STEPS TO OPEN A CORPORATE LIVE ACCOUNT

The form in the next page asks for the details of the **Primary applicant**. In most cases, the primary applicant will be you. Fill in all the correct personal details and proceed to the next section.

First name (no abbreviations) *

Surname *

Date of birth *  - -

Email address *

Confirm email address *

Mobile phone

Home phone Area - Number

Work phone Area - Number

Note: Provide at least one contact phone number



STEPS TO OPEN A CORPORATE LIVE ACCOUNT

The form in the next page asks for the details of your company. Fill in all the details correctly and proceed to the next section. From here on, you will have an option to **Save and continue later** in each step of the application along the way.

Company

Full name of company *

Australian domestic company
 Foreign company

Company type *

Australian Company Number (ACN) *

Australian Business Number (ABN) *

Tax File Number (TFN) *

ABN/TFN exemption Yes
 No

Date of incorporation/registration * - -

Foreign entity for tax purposes No

Nature of the business activity *

Registered office address
(This cannot be a PO Box)

Street number and name *

Suburb *

State *

Post code *

Country Australia

Principal place of business address
(This cannot be a PO Box)

Same as registered office address

Street number and name *

Suburb *

State *

Post code *

Country Australia

Company information
Based on your company type, please select any relevant categories below

Australian Publicly Listed Company (eg. ASX)
 Majority owned subsidiary of an Australian listed company
 Regulated Company
 None of the above

[Save and continue later](#) [Next](#)



STEPS TO OPEN A CORPORATE LIVE ACCOUNT

Fill in all the correct details of the company's officers and proceed to the next section.

Title *

First name (no abbreviations) *

Middle name

Surname *

Authorised signatory on the account * Yes No

Role in Company *

[Add another director](#)

[Back](#)

[Save and continue later](#)

[Next](#)



STEPS TO OPEN A CORPORATE LIVE ACCOUNT

This section asks for the company's director details. Fill in all the details correctly and proceed to the next section.

Title *

First name (no abbreviations) *

Middle name

Surname *

Date of birth * - -

Employment status *

Occupation *

Residential address
(This cannot be a PO Box)

Country of residence *

Street name and number *

Suburb / City *

State / Region / Province *

Postal / ZIP code *

Contact details

Mobile phone

Home phone Area - Number

Work phone Area - Number

Note: Provide at least one contact phone number

Fax number Area - Number

Email address *

Confirm email address *

Additional details

Are you a Politically Exposed Person (PEP) * Yes No



STEPS TO OPEN A CORPORATE LIVE ACCOUNT

The form in this page asks for the company's beneficial owners details. Fill in all the details correctly and proceed to the next section.

Beneficial owners

Nominate the applicant(s) who are beneficial owners.

Ranjani Ram

Additional beneficial owners

Add another beneficial owner

Back

Save and continue later

Next



STEPS TO OPEN A CORPORATE LIVE ACCOUNT

Fill your company's category in this page and proceed to the next section.

- Company category *
- US Company** - A company created in the US, established under the laws of the US or a US tax payer.
 - Non-Financial Unlisted Company** - Non-Financial Proprietary Company or an unlisted public company.
 - Non-Financial Public Listed Company** - Non-Financial Australian Public Listed Company.
 - Financial Institution** - A custodial or depository institution, and investment entity or a specified insurance company for FATCA purpose.

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Save and continue later

Next



STEPS TO OPEN A CORPORATE LIVE ACCOUNT

To simplify your transactions with NoaPrime, you will have an option to nominate your bank account. By doing so, NoaPrime can process your deposits and withdrawals with ease.

If you choose **Yes**, you will be asked to provide the details of your bank account. Click **Next** after reviewing the details. Please fill in **YOUR** bank account details and not anyone else's. Third Party funds are not accepted.

Nominated bank account

Would you like to nominate a bank account?

Yes
 No

Bank account holder's name *

Bank or financial institution name *

Branch

Bank identifier (eg. BSB/SWIFT/Sort Code) *

Bank account number/IBAN *

Back

Save and continue later

Next



STEPS TO OPEN A CORPORATE LIVE ACCOUNT

The next step is a short trading quiz. Choose the right options and click on the **Next** button.

QUESTION 1

You have an equity of 1,000\$. Your account leverage is 1:100. Using all your account equity, what is the maximum trade in USDJPY that you can hold?

- 1,000,000\$
- 100,000\$
- 10,000\$
- 110,000\$

QUESTION 2

You BUY 100,000 EURUSD at 1.10505. You SELL back 100,000 EURUSD at 1.10500. What is your loss?

- 50 USD
- It's not a loss, it's a profit
- 5 USD
- 55 USD

QUESTION 3

You BUY 200,000 EURUSD and Sell 100,000 EURUSD. What is your EUR position?

- Long 200,000 EURUSD
- Short 200,000 EURUSD
- Short 100,000 EURUSD
- Long 100,000 EURUSD

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Save and continue later

Next



STEPS TO OPEN A CORPORATE LIVE ACCOUNT

This section asks for information regarding your trading experience. Each question is mandatory. Read the questions carefully, provide the answers and click **Next**.

Spot and Forward Foreign Exchange/Bullion Experience

QUESTION 1

Years Experience

- NONE
- 0-1
- 1-2
- 2-3
- 3-4
- 4-5
- 5+

QUESTION 2

How have you gained the experience?

- Professionally
- Privately
- N/A

QUESTION 3

Trades Per Month



STEPS TO OPEN A CORPORATE LIVE ACCOUNT

This section asks for additional professional information. Fill in all the details and click on the **Next** button.

QUESTION 1

I acknowledge that I have the necessary expertise, experience and knowledge of the market, in light of the nature of the transactions or services envisaged, and that I am capable of making my own investment decisions and understanding the risks involved.

- Yes
 No

QUESTION 2

I acknowledge that I have at least 1 year of experience or that I have out transactions in significant size, on the relevant markets.

- Yes
 No

QUESTION 3

I/We acknowledge that the size of my/our financial instrument portfolio, defined as including cash deposits and financial instruments, exceeds EUR 500,000.

- Yes
 No

QUESTION 4

Brief statement on your past experience in FX/CFDs



STEPS TO OPEN A CORPORATE LIVE ACCOUNT

In this step, you can review all the details you have provided so far in the application and make changes, if any.

Review application

- Application details
- Product selection
- Company
- Company authorisation de...
- Sole Company Director & ...
- Company beneficial owners
- Beneficial owner 1
- Trading Experience
- Additional information
- Trading Quiz

Application details

Reference number	
Account type	Corporate
Date created	08/06/2017 20:31
Adviser name	NoaPrime

Product selection

I/we select	Margin FX
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Company

Cc Edit

Full name of company * Cc

Submit application

If all the application details are correct please proceed to the applicant declaration section to complete your application.

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Save and continue later

Next



STEPS TO OPEN A CORPORATE LIVE ACCOUNT

This step brings you to the **Applicant declaration**. Read the declaration carefully, click on the checkbox, enter your completion PIN and finally click on **I accept**. The completion pin will be sent to your registered Email ID.

I/We acknowledge that I/We have received, read, understood and agree to the following documents supplied by Finotec:

- ▶ [NoaPrime Disclaimer and Risk Warning](#)
- ▶ [NoaPrime Retail Terms and Conditions](#)

Electronic identity verification

To enable us to verify your identity, we may disclose personal information such as your name, date of birth, and address to a credit reporting agency (CRA) to obtain an assessment of whether that personal information matches information held by the CRA. The CRA may give us a report on that assessment and to do so may use personal information about you and other individuals in their files. Alternative means of verifying you are available on request. If we are unable to verify your identity using information held by a CRA we will provide you with a notice to this effect and give you the opportunity to contact the CRA to update your information held by them or verify your identity using an alternative method acceptable to us.

I/We hereby give our full and informed consent to Finotec to access and confirm our name, date of birth and address with a credit reporting agency for the purposes of undertaking an electronic identity verification.

An email was sent to your email address with important documents relating to your account application (check your junk mail folder if this is not in your inbox).

This email also contains a **completion PIN** that you need to enter below to submit your application.

Enter your completion PIN * 

[Click here](#) to resend PIN.

[Back](#)

[Save and continue later](#)

[I accept](#)



STEPS TO OPEN A CORPORATE LIVE ACCOUNT

In this final step, you would have successfully submitted your application. Your account status will be in **pending** if you have not uploaded the documents. After verifying the documents, NoaPrime will confirm your account after which you can start trading.

Electronic Verification (EV) summary

APPLICANT / ENTITY	RESULT	ACTION REQUIRED
	✗ Pending ¹	Provide a copy of an official government issued Photo ID that contains your name, unique personal number (alternatively your date of birth and place of birth), photo and signature. This should be a National ID card if your country issues one or otherwise a Passport or Driver's licence AND a copy of proof of your permanent residential address. This must contain your current name, address, city and country of residence. This could be a Social Security Card, Bank statement, Utility bill or Driver's licence if it has not already been used as Proof of Identity AND a copy of your latest Bank Statement in the name of the account holder(s)
	✗ Pending	Provide a copy of the Certificate of Incorporation

¹ NoaPrime was unable to electronically verify the applicant's identity via "Veda FraudCheck® AML Credit".

Outstanding documents can be uploaded to us using the link below:

[Upload your documents](#)

What happens next?

We will email you with confirmation of the application and any outstanding requirements as per the additional requirements above.

This email will also contain a link to the document uploader if you would prefer to upload your outstanding documents later.

Alternatively, you can forward all outstanding documents to us at the below address:

Finotec Trading UK Limited
1-4 Bury Street
Holland House
London EC3A 5AW
United Kingdom

Thank you for taking the time to complete this online application, you may now close this browser window.

[Go to home page](#)



DOCUMENTS NEEDED FOR ACCOUNT VERIFICATION

All the documents mentioned below should be submitted when opting for a Corporate Account:

- Certificate of Incorporation
- Evidence of registered address and trading address (see B above); and
- List of all the shareholders both legal and beneficial of the entity or undertaking from lawyers or accountants if documents are unavailable; and
- Board Resolution or similar documents authorizing named individuals to deal with the firm; and
- Memorandum and Articles of Association or equivalent constitution documents establishing the legal basis of the entity; and any amendments to those original documents;
- Any documents which trace and demonstrate full current ownership and control of the entity (if documents are not available, an undertaking of a lawyer or accountants of the shareholder's list both legal and beneficial of the entity); and
- Latest report and accounts; or a letter of good standing from the bank; and
- Full personal identification materials for directors and beneficial owners or controllers of the entity (see further below).
- Evidence of ID (see A below); and
- Evidence of address (see B below) ; and
- Evidence or Description of Source of funds.



DOCUMENTS NEEDED FOR ACCOUNT VERIFICATION

A) For **Proof of Identity**, you can submit any one of the four mentioned documents:

- Current passport showing your clear picture and signature.
- Current driving license /national driving permit showing your clear picture and signature.
- Other official identification card showing your clear picture and signature (e.g. armed forces)
- Firearms certificate showing your clear picture and signature.

B) For **Proof of Residence**, you can submit any one of the three mentioned documents:

- Building society, bank account statement received within the last 3 months and sent to your residential address (e.g. mortgage, savings or current account statement, Credit card statements are not acceptable)
- Utility bill (e.g. water, gas, electricity) or certificate from a supplier of utilities confirming the prepayment arrangements received within the last 3 months and sent to your residential address. (Mobile phone bills are not acceptable)
- Demand or correspondence from tax authorities (including local tax authority) received within the last 3 months and sent to your residential address (e.g. council tax).

You need to upload the documents to the NoaPrime Client BackOffice within 3-5 business days from the date of account submission.



Once you have completed the application, NoaPrime will verify the details before approving your trading account. If your account is not approved due to any outstanding document or any other reasons, you will receive an email notification with the details from the support team.

